

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
04150011AA

In Re Application Of: Yamaji

JUN 25 2004

Application No.

09/740,790

Filing Date

12/21/00

Examiner

Nolan

Customer No.

30743

Group Art Unit

2654 ✓

Confirmation No.

6402

Title: Audio Playback/Recording Apparatus

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Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

JUL 06 2004

Technology Center 2600

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☒ the fee set forth in 37 CFR 1.17(p).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of **\$180.00** is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-2041** as described below.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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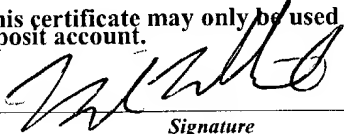
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Signature

Dated: June 25, 2004

Michael E. Whitham

Reg. No. 32,635

Whitham, Curtis & Christofferson, PC  
11491 Sunset Hills Road - #340  
Reston, VA 20190  
703/787-9400

Custom No. 30743

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Yamaji

Serial No.: 09/740,790

Group Art Unit: 2654

Filed: 12/21/00

Examiner: not assigned

For: Audio Playback/Recording Apparatus

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Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

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**INFORMATION DISCLOSURE STATEMENT**

Sir:

Under the provisions of 37 C.F.R. 1.97 through 1.98 and pursuant to applicants' duty of disclosure under 37 C.F.R. 1.56, applicant respectfully bring the following document and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. A copy of each of the listed documents is provided herewith for the convenience of the Examiner.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Our check in the amount of \$180.00 is also attached.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-2041.

Respectfully submitted,

Michael E. Whitham

Registration No.: 32,635

06/29/2004 HBERHE  
01 FC:1806

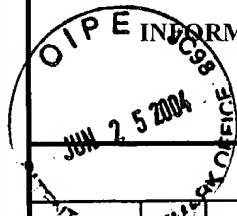
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Whitham, Curtis & Christofferson, PC  
11491 Sunset Hills Road - #340  
Reston, VA 20190  
703/787-9400

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## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

04150011AA

Application Number

09/740,790

Applicant(s)

Yamaji

Filing Date

12/21/00

Group Art Unit

2654

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,838,996	11/98	deCarmo			

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JUL 06 2004

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## U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.